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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/452,927	12/02/1999	DAVID SEAGER RENSHAW	UK999029	1912	
25259	7590 03/05/2003				
IBM CORPORATION 3039 CORNWALLIS RD. DEPT. T81 / B503, PO BOX 12195			EXAMINER		
			KENDALL, CHUCK O		
REASEARCH TRIANGLE PARK, NC		C 27709	ART UNIT	PAPER NUMBER	
			2122		
			DATE MAILED: 03/05/2003	DATE MAILED: 03/05/2003	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)	_		
	09/452,927	RENSHAW, DAVID SEAGER			
Offic Action Summary	Examiner	Art Unit	_		
	Chuck O Kendali	2122			
The MAILING DATE f this communication app Period f r Reply	ears on the cover sheet wi	th the correspondence address			
A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply - If NO period for reply is specified above, the maximum statutory period v - Failure to reply within the set or extended period for reply will, by statute, - Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).  Status	36(a). In no event, however, may a r within the statutory minimum of thin iill apply and will expire SIX (6) MON cause the application to become AB	eply be timely filed y (30) days will be considered timely. THS from the mailing date of this communication. ANDONED (35 U.S.C. § 133).			
1) Responsive to communication(s) filed on 20 L	<u>December 2002</u> .				
2a) ☐ This action is <b>FINAL</b> . 2b) ☑ Th	is action is non-final.				
3) Since this application is in condition for allowed closed in accordance with the practice under Disposition of Claims					
4) Claim(s) is/are pending in the application	on.				
4a) Of the above claim(s) is/are withdraw	vn from consideration.				
5)⊠ Claim(s) <u>17 and 34</u> is/are allowed.					
6)⊠ Claim(s) <u>1-16,18-33 and 35</u> is/are rejected.					
7)⊠ Claim(s) is/are objected to.					
8) Claim(s) are subject to restriction and/o	r election requirement.				
Application Papers					
9) ☐ The specification is objected to by the Examine					
10) ☐ The drawing(s) filed on is/are: a) ☐ accept	ted or b)⊡ objected to by t	he Examiner.			
Applicant may not request that any objection to the					
11) The proposed drawing correction filed on		isapproved by the Examiner.			
If approved, corrected drawings are required in rep					
12) The oath or declaration is objected to by the Ex	aminer.				
Priority under 35 U.S.C. §§ 119 and 120					
13) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C.	§ 119(a)-(d) or (f).			
a) ☐ All b) ☐ Some * c) ☐ None of:					
1. Certified copies of the priority documents have been received.					
2. Certified copies of the priority documents have been received in Application No					
<ul> <li>3. Copies of the certified copies of the prior</li> <li>application from the International Bu</li> <li>* See the attached detailed Office action for a list</li> </ul>	reau (PCT Rule 17.2(a)).				
14)☐ Acknowledgment is made of a claim for domesti	c priority under 35 U.S.C.	§ 119(e) (to a provisional application).			
<ul> <li>a)  The translation of the foreign language pro</li> <li>15)  Acknowledgment is made of a claim for domest</li> </ul>	• •				
Attachment(s)					
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s)	5) Notice of	Summary (PTO-413) Paper No(s) nformal Patent Application (PTO-152)			
PTO-326 (Rev. 04-01) Office Ac	tion Summary	Part of Paper No. 11			

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### **DETAILED ACTION**

This action is in response to the application filed 12/20/02
 Claims 1-35 have been examined.

### Examiners Response

Regarding rejection of the Claims 1-35 under 35 U.S.C. § 102(e) and 103(a): Examiner has evaluated applicant's arguments of December 20, 2002 and withdraws the Finality of the previous amendment.

# Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

Claims 1-4,18-21,24 and 35 are rejected under 35 U.S.C. 102(e) as being anticipated by Tabloski, jr et al. USPN 5,999,729.

Regarding claim 1, Tabloski shows creating a data file using a programming development environment on a computer system (abstract), comprising the steps of building a program to represent data file [13:62-67], compiling into a software executable [20:30-35], and running the executable to generate the data file [20:30-35].

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Regarding claim 2 according to claim 1, whereby the program is built by linking a plurality of development components [3:42-47].

Regarding claim 3 according to claim 2, whereby at least one component comprises characteristic data file information [14:30-35].

Regarding claim 4 according to claim 3 whereby, on running the executable, at least one compiled component outputs its respective data file information into the data file [14:30-40].

Regarding claim 7 according to claim 2 wherein at least one development component comprises a graphical icon for a visual development graphical user interface [Tabloski, fig 2 30].

Regarding 18 see reasoning in 1.

Regarding 19 see reasoning in 2.

Regarding 20 see reasoning in 3.

Regarding 21 see reasoning in 4.

Regarding 24 see reasoning in 7.

Regarding 35 see reasoning in 1.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

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Claims 5,6, 8-13, 22,23, & 25-30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Tabloski jr. et al. USPN 5,999,729 hereinafter Tabloski as applied in claim 4, in view of lyengar et al. USPN 6,018,627 hereinafter lyengar.

Regarding claim 5 Tabloski discloses all the claimed limitations as applied in claim 4. Tabloski doesn't explicitly disclose on running the executable, at least one compiled component creates a file output stream and writes its respective data file information to the output stream. However, lyengar does disclose this feature, (abstract, see putting and taking output data from repository). Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify and or combine the elements in Tabloski with lyengar to implement the instant claimed invention because, data streaming is a general practice during program executing and allows data requirements to be instrumented into a program which makes running the program more efficient.

Regarding claim 6 according to claim 4 whereby, on running the executable, at least one compiled component causes another component to output its respective data file information into the data file [Tabloski, fig 3].

Regarding claim 8 according to claim 2 wherein the development components are Java beans [lyengar et al, 12:8].

Regarding claim 9 according to claim 2 wherein the development components comprise a main component and a sub-component [Tabloski,13:65-14:10, see icon and dialogue box, fig 3 and fig 4].

Regarding claim 10, method of claim 8 where main development components represents a form [Tabloski, fig3].

Regarding claim 11 according to claim 10 wherein the sub-component represents a text field on the form [Tabloski, fig 4].

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Regarding claim 12 according to claim 2 whereby the program is compiled by generating an executable component from each development component and linking the executable components together [6:18-23].

Regarding claim 13 according to claim 12 whereby, on running a first executable component, data file information from the first executable is output before running the next and subsequent executable components [Tabloski,13:65-14:10, see icon and dialogue box, fig 3 and fig 4].

Regarding 22 see reasoning in 5.

Regarding 23 see reasoning in 6.

Regarding 25 see reasoning in 8.

Regarding 26 see reasoning in 9.

Regarding 27 see reasoning in 10.

Regarding 28 see reasoning in 11.

Regarding 29 see reasoning in 12.

Regarding 30 see reasoning in 13.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

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(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 14-17,31-34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Tabloski, jr et al. USPN 5,999,729 in view of Davidson 6,083,276.

Tabloski discloses all the claimed limitations per claim 1. Tabloski doesn't explicitly disclose data file comprising mark-up information. However, Davidson does disclose data file comprising mark-up information [4: 50-52]. Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Tabloski with Davidson to implement the claimed invention because, mark up information has the flexibility and simplicity of to provide the syntactic framework for configuring component based applications. [Davidson 4: 13-15].

Regarding claim 15 according to claim 14, wherein the mark-up information comprises XML [Davidson, 4: 50-52].

Regarding claim 16 according to claim 1 wherein the data file is for interpretation by a third party computer system. [Davidson 3:5].

Regarding 31 see reasoning in 14.

Regarding 32 see reasoning in 15.

Regarding 33 see reasoning in 16.

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## Allowable subject matter

. . . .

Claims 17 & 34 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Regarding claim 17 according to claim 16 wherein the third party computer system comprises a dialogue management system for a computer telephony system.

## **Correspondence Information**

Any inquires concerning this communication or earlier communications from the examiner should be directed to Chuck O. Kendall who may be reached via telephone at (703) 308-6608. The examiner can normally be reached Monday through Friday between 8:00 A.M. and 5:00 P.M. est.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, *Greg Morse can be* reached at (703) 308-4789.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group receptionist whose telephone number is (703) 305-3900.

For facsimile (fax) send to 703-7467239 official and 703-7467240 draft

Chuck O. Kendall

Software Engineer Patent Examiner
United States Department of Commerce

GREGORY MORSE

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